

From the INTERNATIONAL BUREAU

**PCT**NOTIFICATION RELATING TO  
DECLARATION MADE UNDER PCT RULE 4.17(PCT Rules 26ter and 48.2(a)(x)  
and Administrative Instructions, Section 419)

To:

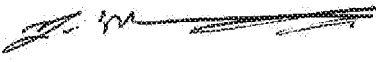
KORSEN, Elliott  
Bristol-Myers Squibb Company  
Route 206 and Province Line Road  
Princeton, New Jersey 08543  
ÉTATS-UNIS D'AMÉRIQUE

Date of mailing ( <i>day/month/year</i> ) 20 November 2020 (20.11.2020)	
Applicant's or agent's file reference 12845-WO-PCT	IMPORTANT NOTIFICATION
International application No. PCT/US2020/031747	International filing date ( <i>day/month/year</i> ) 07 May 2020 (07.05.2020)
Applicant BRISTOL-MYERS SQUIBB COMPANY	

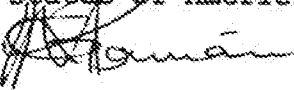
- The applicant is hereby **notified** of the following regarding the declaration indicated below in respect of **HARIKRISHNAN, Lalgudi S. et al. :**
  - declaration as to the identity of the inventor (Rules 4.17(i) and 51bis.1(a)(i) and Section 211)
  - declaration as to the applicant's entitlement, as at the international filing date, to apply for or be granted a patent (Rules 4.17(ii) and 51bis.1(a)(ii) and Section 212)
  - declaration as to the applicant's entitlement, as at the international filing date, to claim priority of the earlier application (Rules 4.17(iii) and 51bis.1(a)(iii) and Section 213)
  - declaration of inventorship (for the purposes of the designation of the United States of America) (Rules 4.17(iv) and 51bis.1(a)(iv) and Section 214)
  - declaration as to non-prejudicial disclosures or exceptions to lack of novelty (Rules 4.17(v) and 51bis.1(a)(v) and Section 215)
- Addition or correction of the declaration within the time limit under Rule 26ter.1.**  
The added or corrected declaration was received on , which was within the time limit under Rule 26ter.1.  
Any declaration referred to under items 1(i) to (v), whether or not the declaration complies with Rule 4.17, will be published as part of the international application pursuant to Rule 48.2(a)(x).
- Failure to add or correct the declaration within the time limit under Rule 26ter.1.**  
The declaration was received on **11 November 2020 (11.11.2020)** which was **after** the expiration of the time limit under Rule 26ter.1; therefore, that declaration, as added or corrected, referred to under items 1(i) to (v) will **not** be published as part of the international application, and any signed declaration referred to under item 1(iv) is attached. **Such declaration should be submitted by the applicant directly to the designated Office(s) concerned.**
- The applicant's attention is drawn to Rule 51bis.2 which provides that the designated Office shall not, unless it may reasonably doubt the veracity of the declaration concerned, require any document or evidence relating to the subject matter of any declaration complying with Rule 4.17(i) to (iv) which is contained in the request or submitted to the International Bureau or directly to the designated Office. Note, however, that Rule 51bis.2 may not apply in respect of certain States. For further information, see Notes to the request form, Box No. VIII.
- A copy of this notification is being sent to the receiving Office and the International Searching Authority.

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer  <b>Moussaid El Mostafa</b> e-mail <a href="mailto:pct.team6@wipo.int">pct.team6@wipo.int</a> Telephone No. +41 22 338 74 06
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## PCT REQUEST

VIII-4-1	<p>Declaration: inventorship (only for the purposes of the designation of the United States of America)</p> <p>Declaration of inventorship (Rules 4.17(iv) and 51bis.1(a)(iv)) for the purposes of the designation of the United States of America:</p>	<p>I hereby declare that I believe I am the original inventor or an original joint inventor of a claimed invention in the application.</p> <p>This declaration is directed to international application No. PCT/US2020/31747.</p> <p>I hereby declare that the above-identified international application was made or authorized to be made by me.</p> <p>I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.</p>
VIII-4-1-1-1	Name (LAST, First)	HARIKRISHNAN, Lalgudi S.
VIII-4-1-1-2	Residence: (city and either US state, if applicable, or country)	Princeton, New Jersey
VIII-4-1-1-3	Mailing Address:	c/o Bristol-Myers Squibb Company Route 206 and Province Line Road Princeton, NJ 08543 United States of America
VIII-4-1-1-4	Inventor's Signature: (The signature must be that of the inventor, not that of the agent)	
VIII-4-1-1-5	Date:	July 20, 2020
VIII-4-1-2-1	Name (LAST, First)	KAMAU, Muthoni G.
VIII-4-1-2-2	Residence: (city and either US state, if applicable, or country)	Princeton, New Jersey
VIII-4-1-2-3	Mailing Address:	c/o Bristol-Myers Squibb Company Route 206 and Province Line Road Princeton, NJ 08543 United States of America
VIII-4-1-2-4	Inventor's Signature: (The signature must be that of the inventor, not that of the agent)	
VIII-4-1-2-5	Date:	

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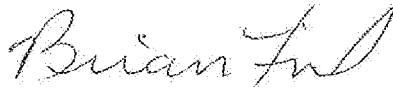
VIII-4-1	<p>Declaration: Inventorship (only for the purposes of the designation of the United States of America)  Declaration of Inventorship (Rules 4.17(iv) and 51bis.1(a)(iv)) for the purposes of the designation of the United States of America:</p>	<p>I hereby declare that I believe I am the original inventor or an original joint inventor of a claimed invention in the application.</p> <p>This declaration is directed to international application No. PCT/US2020/31747.</p> <p>I hereby declare that the above-identified international application was made or authorized to be made by me.</p> <p>I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.</p>
VIII-4-1-1-1	Name (LAST, First)	HARIKRISHNAN, Lalgudi S.
VIII-4-1-1-2	Residence: (city and either US state, if applicable, or country)	Princeton, New Jersey
VIII-4-1-1-3	Mailing Address:	c/o Bristol-Myers Squibb Company Route 206 and Province Line Road Princeton, NJ 08543 United States of America
VIII-4-1-1-4	Inventor's Signature: (The signature must be that of the inventor, not that of the agent)	
VIII-4-1-1-5	Date:	
VIII-4-1-2-1	Name (LAST, First)	KAMAU, Muthoni G.
VIII-4-1-2-2	Residence: (city and either US state, if applicable, or country)	Princeton, New Jersey
VIII-4-1-2-3	Mailing Address:	c/o Bristol-Myers Squibb Company Route 206 and Province Line Road Princeton, NJ 08543 United States of America
VIII-4-1-2-4	Inventor's Signature: (The signature must be that of the inventor, not that of the agent)	
VIII-4-1-2-5	Date:	28 - July 2020

## PCT REQUEST

VIII-4-1	<p><b>Declaration: Inventorship (only for the purposes of the designation of the United States of America)</b>  Declaration of Inventorship (Rules 4.17(iv) and 51bis.1(a)(iv)) for the purposes of the designation of the United States of America:</p>	<p>I hereby declare that I believe I am the original inventor or an original joint inventor of a claimed invention in the application.</p> <p>This declaration is directed to international application No. PCT/US2020/31747.</p> <p>I hereby declare that the above-identified international application was made or authorized to be made by me.</p> <p>I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.</p>
VIII-4-1-1-1	Name (LAST, First)	HARIKRISHNAN, Lalgudi S.
VIII-4-1-1-2	Residence: (city and either US state, if applicable, or country)	Princeton, New Jersey
VIII-4-1-1-3	Mailing Address:	c/o Bristol-Myers Squibb Company Route 206 and Province Line Road Princeton, NJ 08543 United States of America
VIII-4-1-1-4	Inventor's Signature: (The signature must be that of the inventor, not that of the agent)	
VIII-4-1-1-5	Date:	
VIII-4-1-2-1	Name (LAST, First)	KAMAU, Muthoni G.
VIII-4-1-2-2	Residence: (city and either US state, if applicable, or country)	Princeton, New Jersey
VIII-4-1-2-3	Mailing Address:	c/o Bristol-Myers Squibb Company Route 206 and Province Line Road Princeton, NJ 08543 United States of America
VIII-4-1-2-4	Inventor's Signature: (The signature must be that of the inventor, not that of the agent)	
VIII-4-1-2-5	Date:	

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VIII-4-1-3-1	Name (LAST, First)	FINK, Brian E.
VIII-4-1-3-2	Residence: (city and either US state, if applicable, or country)	Princeton, New Jersey
VIII-4-1-3-3	Mailing Address:	c/o Bristol-Myers Squibb Company Route 206 and Province Line Road Princeton, NJ 08543 United States of America
VIII-4-1-3-4	Inventor's Signature: (The signature must be that of the inventor, not that of the agent)	
VIII-4-1-3-5	Date:	August 3, 2020