

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

NOTIFICATION OF THE INTERNATIONAL
APPLICATION NUMBER AND OF THE
INTERNATIONAL FILING DATE

(PCT Rule 20.2(c))

To:
STEVE D. LUNDQUIST
CATERPILLAR INC.
100 NE ADAMS STREET - AH9510
PEORIA, ILLINOIS 61629-9510

Confirmation No: 8976

Date of mailing
(day/month/year) 02 Aug 2018

Applicant's or agent's file reference
170659-68162

IMPORTANT NOTIFICATION

International application No.
PCT/US2018/043715

International filing date (day/month/year)
25 Jul 2018

Priority date (day/month/year)
29 Aug 2017

Applicant
CATERPILLAR INC.

Title of the invention
HIGH TEMPERATURE CAPABLE JOINT ASSEMBLY FOR USE IN AIR-TO-AIR AFTERCOOLERS (ATAAC)

1. The applicant is hereby notified that the international application has been accorded the international application number and the international filing date indicated above.

2. The applicant is further notified that the record copy of the international application:

was transmitted to the International Bureau on 02 Aug 2018.

has not yet been transmitted to the International Bureau for the reason indicated below and a copy of this notification has been sent to the International Bureau*:

because the necessary national security clearance has not yet been obtained.

because (reason to be specified):

* The International Bureau monitors the transmittal of the record copy by the receiving Office and will notify the applicant (with Form PCT/IB/301) of its receipt. Should the record copy not have been received by the expiration of 14 months from the priority date, the International Bureau will notify the applicant (Rule 22.1(c)).

3. FOREIGN TRANSMITTAL LICENSE INFORMATION Completed by: LH

Additional license for foreign transmittal not required. This subject matter is covered by a license already granted or the equivalent U.S. national application. Refer to that license for information concerning its scope.

License for foreign transmittal not required. 37 CFR. 5.11(e)(1) or 37 CFR 5.11(e)(2). However, a license may be required for additional subject matter. See 37 CFR 5.15(b).

Foreign transmittal license granted. 35 U.S.C. 184; 37 CFR 5.11 on 01 Aug 2018 :
(date)

37 CFR 5.15(a) 37 CFR 5.15(b)

Name and mailing address of the receiving Office
Mail Stop PCT, Commissioner for Patents
P.O. Box 1450, Alexandria, VA 22313-1450
Facsimile No. 571-273-8300

Authorized officer
Larry Hammond
Telephone No. 571-272-3544