

PCT REQUEST

Original (for SUBMISSION)

0	For receiving Office use only	
0-1	International Application No.	PCT/US18/43715
0-2	International Filing Date	25 July 2018 (25.07.2018)
0-3	Name of receiving Office and "PCT International Application"	RO/US
0-4	Form PCT/RO/101 PCT Request	
0-4-1	Prepared Using	PCT-SAFE [EASY mode] Version 3.51.066.242 MT/FOP 20150101/0.20.5.21
0-5	Petition The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty	
0-6	Receiving Office (specified by the applicant)	United States Patent and Trademark Office (USPTO) (RO/US)
0-7	Applicant's or agent's file reference	170659-68162
I	Title of Invention	HIGH TEMPERATURE CAPABLE JOINT ASSEMBLY FOR USE IN AIR-TO-AIR AFTERCOOLERS (ATAAC)
II	Applicant	
II-1	This person is	Applicant only
II-2	Applicant for	All designated States
II-4	Name	CATERPILLAR INC.
II-5	Address	510 Lake Cook Road, Suite 100 Deerfield, Illinois 60015 United States of America
II-6	State of nationality	US
II-7	State of residence	US
II-10	e-mail	pct_docket@cat.com
II-10(a)	E-mail authorization The receiving Office, the International Searching Authority, the International Bureau and the International Preliminary Examining Authority are authorized to use this e-mail address, if the Office or Authority so wishes, to send notifications issued in respect of this international application:	exclusively in electronic form (no paper notifications will be sent)

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III-1	Applicant and/or inventor	
III-1-1	This person is	Inventor only
III-1-3	Inventor for	All designated States
III-1-4	Name (LAST, First)	KENNEDY, Joseph L.
III-1-5	Address	311 SW Water St. #412 Peoria, Illinois 61602 United States of America
III-2	Applicant and/or inventor	
III-2-1	This person is	Inventor only
III-2-3	Inventor for	All designated States
III-2-4	Name (LAST, First)	VOELKER, James M.
III-2-5	Address	316 Townhall Rd. Metamora, IL 61548 United States of America
III-3	Applicant and/or inventor	
III-3-1	This person is	Inventor only
III-3-3	Inventor for	All designated States
III-3-4	Name (LAST, First)	SHETH, Nirag
III-3-5	Address	1700 W Marigold Dr. Apt 5102 Peoria, IL 61615 United States of America
III-4	Applicant and/or inventor	
III-4-1	This person is	Inventor only
III-4-3	Inventor for	All designated States
III-4-4	Name (LAST, First)	TERRY, Neil A.
III-4-5	Address	202 E. Miller Rd. Edelstein, IL 61526 United States of America

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IV-1	Agent or common representative; or address for correspondence The person identified below is hereby/ has been appointed to act on behalf of the applicant(s) before the competent International Authorities as:	Agent
IV-1-1	Name (LAST, First)	LUNDQUIST, Steve D.
IV-1-2	Address	Caterpillar Inc. 100 NE Adams Street - AH9510 Peoria, IL 61629-9510 United States of America
IV-1-3	Telephone No.	309-675-8091
IV-1-5	e-mail	pct_docket@cat.com
IV-1-5(a))	E-mail authorization The receiving Office, the International Searching Authority, the International Bureau and the International Preliminary Examining Authority are authorized to use this e-mail address, if the Office or Authority so wishes, to send notifications issued in respect of this international application:	exclusively in electronic form (no paper notifications will be sent)
IV-1-6	Agent's registration No.	42,816
IV-2	Additional agent(s)	additional agent(s) with same address as first named agent
IV-2-1	Name(s)	BECKMAN, William A. (57,589); CARTER, Janda M. (58,033); EARLE, Kevin C. (56,146); ROBERSON, Keith P. (43,885); STEWART, Leonard (66,440)
V	DESIGNATIONS	
V-1	The filing of this request constitutes under Rule 4.9(a), the designation of all Contracting States bound by the PCT on the international filing date, for the grant of every kind of protection available and, where applicable, for the grant of both regional and national patents.	
VI-1	Priority claim of earlier national application	
VI-1-1	Filing date	29 August 2017 (29.08.2017)
VI-1-2	Number	15/689,011
VI-1-3	Country or Member of WTO	US
VI-2	Priority document request The receiving Office is requested to prepare and transmit to the International Bureau a certified copy of the earlier application(s) identified above as item(s):	VI-1

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VI-3	Incorporation by reference : where an element of the international application referred to in Article 11(1)(iii)(d) or (e) or a part of the description, claims or drawings referred to in Rule 20.5(a) is not otherwise contained in this international application but is completely contained in an earlier application whose priority is claimed on the date on which one or more elements referred to in Article 11(1)(iii) were first received by the receiving Office, that element or part is, subject to confirmation under Rule 20.6, incorporated by reference in this international application for the purposes of Rule 20.6.		
VII-1	International Searching Authority Chosen	Korean Intellectual Property Office (ISA/KR)	
VIII	Declarations	Number of declarations	
VIII-1	Declaration as to the identity of the inventor	-	
VIII-2	Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent	-	
VIII-3	Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application	-	
VIII-4	Declaration of inventorship (only for the purposes of the designation of the United States of America)	-	
VIII-5	Declaration as to non-prejudicial disclosures or exceptions to lack of novelty	-	
IX	Check list	Number of sheets	Electronic file(s) attached
IX-1	Request (including declaration sheets)	5	✓
IX-2	Description	12	-
IX-3	Claims	2	-
IX-4	Abstract	1	✓
IX-5	Drawings	9	-
IX-7	TOTAL	29	
	Accompanying Items	Paper document(s) attached	Electronic file(s) attached
IX-8	Fee calculation sheet	✓	-
IX-18	PCT-SAFE physical media	-	✓
IX-20	Figure of the drawings which should accompany the abstract	2	
IX-21	Language of filing of the international application	English	

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X-1	Signature of applicant, agent or common representative	/Steve D. Lundquist/
X-1-1	Name (LAST, First)	LUNDQUIST, Steve D., Reg. No. 42,816
X-1-2	Name of signatory	
X-1-3	Capacity (if such capacity is not obvious from reading the request)	

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10-1	Date of actual receipt of the purported international application	25 July 2018 (25.07.2018)
10-2	Drawings:	
10-2-1	Received	
10-2-2	Not received	
10-3	Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application	
10-4	Date of timely receipt of the required corrections under PCT Article 11(2)	
10-5	International Searching Authority	ISA/KR
10-6	Transmittal of search copy delayed until search fee is paid	

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11-1	Date of receipt of the record copy by the International Bureau	
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