

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

To:
 BART A. FISHER
 CATERPILLAR INC.
 100 N.E. ADAMS STREET-AH9510
 PEORIA, ILLINOIS 61629-9510

NOTIFICATION OF THE INTERNATIONAL
 APPLICATION NUMBER AND OF THE
 INTERNATIONAL FILING DATE

(PCT Rule 20.2(c))

Confirmation No: 5928

Date of mailing
(day/month/year) 22 Sep 2017

Applicant's or agent's file reference
 16-1471

IMPORTANT NOTIFICATION

International application No. PCT/US2017/051269	International filing date <i>(day/month/year)</i> 13 Sep 2017	Priority date <i>(day/month/year)</i> 26 Sep 2016
----------------------------------------------------	------------------------------------------------------------------	------------------------------------------------------

Applicant
 CATERPILLAR INC.

Title of the invention
 FACE SEAL WITH ENERGIZED LIP AND COUNTERFACE

1. The applicant is hereby notified that the international application has been accorded the international application number and the international filing date indicated above.

2. The applicant is further notified that the record copy of the international application:

- was transmitted to the International Bureau on 22 Sep 2017.
- has not yet been transmitted to the International Bureau for the reason indicated below and a copy of this notification has been sent to the International Bureau*:
 - because the necessary national security clearance has not yet been obtained.
 - because *(reason to be specified)*:

* The International Bureau monitors the transmittal of the record copy by the receiving Office and will notify the applicant (with Form PCT/IB/301) of its receipt. Should the record copy not have been received by the expiration of 14 months from the priority date, the International Bureau will notify the applicant (Rule 22.1(c)).

3. FOREIGN TRANSMITTAL LICENSE INFORMATION Completed by: JK

- Additional license for foreign transmittal not required. This subject matter is covered by a license already granted or the equivalent U.S. national application. Refer to that license for information concerning its scope.
- License for foreign transmittal not required. 37 CFR. 5.11(e)(1) or 37 CFR 5.11(e)(2). However, a license may be required for additional subject matter. See 37 CFR 5.15(b).
- Foreign transmittal license granted. 35 U.S.C. 184; 37 CFR 5.11 on 21 Sep 2017 :
 (date)
 - 37 CFR 5.15(a)
 - 37 CFR 5.15(b)

Name and mailing address of the receiving Office
 Mail Stop PCT, Commissioner for Patents
 P.O. Box 1450, Alexandria, VA 22313-1450
 Facsimile No. 571-273-8300

Authorized officer
Jon Kurtz
 Telephone No. 703-756-1216