

From the INTERNATIONAL BUREAU

PCTNOTIFICATION CONCERNING SUBMISSION,
OBTENTION OR TRANSMITTAL
OF PRIORITY DOCUMENT

(PCT Administrative Instructions, Section 411)

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| Date of mailing (<i>day/month/year</i>) 13 October 2017 (13.10.2017) | |
| Applicant's or agent's file reference 16-1471 | IMPORTANT NOTIFICATION |
| International application No. PCT/US2017/051269 | International filing date (<i>day/month/year</i>) 13 September 2017 (13.09.2017) |
| International publication date (<i>day/month/year</i>) Not yet published | Priority date (<i>day/month/year</i>) 26 September 2016 (26.09.2016) |
| Applicant CATERPILLAR INC. | |

The applicant is hereby notified of the date of receipt (or of obtaining by the International Bureau) of the priority document(s) relating to all earlier application(s) whose priority is claimed. Unless otherwise indicated by the letters "NR", in the right-hand column or by an asterisk appearing next to the date of receipt, **the priority document concerned was submitted or transmitted to or obtained by the International Bureau in compliance with Rule 17.1(a), (b) or (b-bis)**. This Form replaces any previously issued notification concerning submission, transmittal or obtaining of priority documents.

| <u>Priority date</u> | <u>Priority application No.</u> | <u>Country or regional Office or PCT receiving Office</u> | <u>Date of receipt of priority document</u> |
|--------------------------------|---------------------------------|---|---|
| 26 September 2016 (26.09.2016) | 15/276,499 | US | 24 September 2017 (24.09.2017) |

The letters "NR" denote a priority document which, on the date of mailing of this Form, had not yet been received or obtained by the International Bureau in compliance with Rule 17.1(a), (b) or (b-bis). Where the applicant has failed to either submit, request to prepare and transmit, or to request the International Bureau to obtain the priority document within the applicable time limit under that Rule, the attention of the applicant is directed to Rule 17.1(c) which provides that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity, upon entry into the national phase, to furnish the priority document within a time limit which is reasonable under the circumstances.

An asterisk "*" next to a date of receipt, denotes a priority document submitted or transmitted to or obtained by the International Bureau but not in compliance with Rule 17.1(a), (b) or (b-bis) (the priority document was received after the time limit prescribed in Rule 17.1(a); the request to prepare and transmit the priority document was submitted to the receiving Office after the applicable time limit under Rule 17.1(b) or the request to the International Bureau to obtain the priority document was made after the applicable time limit under Rule 17.1(b-bis)). Even though the priority document was not furnished in compliance with Rule 17.1(a), (b) or (b-bis), the International Bureau will nevertheless transmit a copy of the document to the designated Offices, for their consideration. In case such a copy is not accepted by the designated Office as the priority document, Rule 17.1(c) provides that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity, upon entry into the national phase, to furnish the priority document within a time limit which is reasonable under the circumstances.

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