

## DOCUMENT MADE AVAILABLE UNDER THE PATENT COOPERATION TREATY (PCT)

International application number:	<b>PCT/EP2016/065310</b>
International filing date:	<b>30 June 2016 (30.06.2016)</b>
Document type:	<b>Certified copy of priority document</b>
Document details:	Country/Office: <b>GB</b>
	Number: <b>1511466.3</b>
	Filing date: <b>30 June 2015 (30.06.2015)</b>
Date of receipt at the International Bureau:	<b>02 July 2016 (02.07.2016)</b>

Remark: Priority document submitted or transmitted to the International Bureau in compliance with Rule 17.1(a),(b) or (b-bis)

## **CERTIFICATE OF AVAILABILITY OF A CERTIFIED PATENT DOCUMENT IN A DIGITAL LIBRARY**

The International Bureau certifies that a copy of the patent application indicated below has been available to the WIPO Digital Access Service since the date of availability indicated, and that the patent application has been available to the indicated Office(s) as of the date specified following the relevant Office code:

Document details: Country/Office: GB

Filing date: 30 Jun 2015 (30.06.2015)

Application number: 1511466.3

Date of availability of document: 11 Aug 2015 (11.08.2015)

The following Offices can retrieve this document by using the access code:

JP, US, SE, NZ, KR, ES, GB, AU, IB, CN, FI

Date of issue of this certificate: 02 Jul 2016 (02.07.2016)

+

Concept House  
Cardiff Road  
Newport  
South Wales  
NP10 8QQ

### Certified Office Copy

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with patent application GB1511466.3 filed on 30 June 2015, and as stored electronically on the Patents Electronic Case file System.

The Patents Electronic Case-file System is compliant with British Standard BS10008 - Evidential weight and legal admissibility of information stored electronically and ISO15801 - Electronic imaging – information stored electronically, recommendations for trustworthiness and reliability.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.

Signed A HAYES

Dated 7 July 2015



**Patents Form 1**

Patents Act 1977 (*Rule 12*)

**Request for grant of a patent**

Concept House  
Cardiff Road  
Newport  
South Wales  
NP10 8QQ

*Application number* **GB1511466.3**

1. Your reference	<b>P11694GB00</b>		
2. Full name, address and postcode of the applicant or of each applicant	<b>Exergyn Limited</b> <b>DCU Cleantech Innovation Campus</b> <b>Old Finglas Road</b> <b>Glasnevin 11</b> <b>Dublin</b> <b>Ireland</b>		
Patents ADP number ( <i>if you know it</i> )	<b>10957884002</b>		
3. Title of the invention	<b>SMA Bundle Wire Optimisation in an Energy Recovery Device</b>		
4. Name of your agent ( <i>if you have one</i> )	<b>Lucey, Michael</b> <b>PurdyLucey Intellectual Property</b> <b>6-7 Harcourt Terrace</b> <b>Dublin 2</b> <b>Dublin</b> <b>Ireland</b>		
"Address for service" to which all correspondence should be sent. This may be in the European Economic area or Channel Islands (see warning note below) ( <i>including the postcode</i> )	<b>10408029003</b>		
5. Priority declaration: Are you claiming priority from one or more earlier-filed patent applications? If so, please give details of the application(s)			
	Country	Application number	Date of filing
6. Divisionals etc: Is this application a divisional application, or being made following resolution of an entitlement dispute about an earlier application. If so, please give the application number and filing date of the earlier application			PDAS Access Code
		Number of earlier UK application	Date of filing ( <i>day / month / year</i> )
7. Inventorship: (Inventors must be individuals not companies)			
Are all the applicants named above also inventors?	<b>No</b>		
8. Are you paying the application fee with this form?	<b>Yes</b>		

# Patents Form 1

---

9. Accompanying documents: please enter the number of pages of each item accompanying this form.

Continuation sheets of this form

Description: **7**

Claim(s): **1**

Abstract: **1**

Drawing(s): **3**

If you are not filing a description, please give details of the previous application you are going to rely upon

Country	Application number	Date of filing	PDAS Access Code
---------	--------------------	----------------	------------------

---

10. If you are also filing any of the following, state how many against each item.

Priority documents: **0**

Statement of inventorship and right to grant of a patent  
(Patents Form 7): **0**

Request for search (Patents Form 9A): **1**

Request for substantive examination (Patents Form 10): **1**

Any other documents (please specify): **PDAS Registration Form**

---

11. I/We request the grant of a patent on the basis of this application.

Date: **30 Jun 2015**

---

12. Name, e-mail address, telephone, fax and/or mobile number, if any, of a contact point for the applicant

**Lucey, Michael**  
**Email: info@purdylucey.com**  
**Telephone: +353-1-676 0792**  
**Fax: +353-1-676 0018**

---

## Warning

After an application for a patent has been filed, the Comptroller will consider whether publication or communication of the invention should be prohibited or restricted under section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you are resident in the United Kingdom and your application contains information which relates to military technology, or would be prejudicial to national security or the safety of the public, section 23 of the Patents Act 1977 prohibits you from applying for a patent abroad without first getting written permission from the Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked. Until such time or until the revocation of any direction, for any such application the address for service referred to at part 4 above must be in the United Kingdom.

Although you may have an address for service in the Channel Islands, any agent instructed to act for you must reside or have a place of business in the European Economic Area or Isle of Man.

**Title**

SMA Bundle Wire Optimisation in an Energy Recovery Device

**Field**

5 The present application relates to the field of energy recovery and in particular to the use of Shape-memory Alloys (SMAs) or Negative Thermal Expansion materials (NTE) for same.

**Background**

10 Low-grade heat, which is typically considered less than 100 degrees, represents a significant waste energy stream in industrial processes, power generation and transport applications. Recovery and re-use of such waste streams is desirable. An example of a technology which has been proposed for this purpose is a Thermoelectric Generator (TEG). Unfortunately, TEGs are relatively expensive.  
15 Another largely experimental approach that has been proposed to recover such energy is the use of Shape-memory Alloys.

A Shape-memory Alloy (SMA) is an alloy that "remembers" its original, cold-forged shape which once deformed returns to its pre-deformed shape upon  
20 heating. This material is a lightweight, solid-state alternative to conventional actuators such as hydraulic, pneumatic, and motor-based systems.

The three main types of Shape-memory Alloys are the copper-zinc-aluminium-nickel, copper-aluminium-nickel, and nickel-titanium (NiTi) alloys but SMAs can  
25 also be created, for example, by alloying zinc, copper, gold and iron.

The memory of such materials has been employed or proposed since the early 1970s for use in heat recovery processes and in particular by constructing SMA engines which recover energy from heat as motion. Recent publications relating  
30 to energy recovery devices include PCT Patent Publication number WO2013/087490, assigned to the assignee of the present invention. It is desirable to translate the contraction of the SMA or NTE material into a

mechanical force in an efficient manner. It is not a trivial task and generally is complicated and involves significant energy losses.

It is therefore an object to provide an improved system and method in an energy recovery device.

### **Summary**

According to the invention there is provided, as set out in the appended claims, an energy recovery device comprising a plurality of Shape-Memory Alloy (SMAs) or Negative Thermal Expansion (NTE) elements arranged as a plurality of wires positioned substantially parallel with each other to define a core wherein the wires are selected to have different dimensions such that the plurality of wires are activated at substantially the same time in response to a temperature change.

15

In one embodiment wires positioned towards the centre of the core have a smaller diameter than wires positioned near the outside of the core

In one embodiment the wire diameters are selected to match the characteristics of a fluid flow at any point in the core and take account of the impact of the flow with other wires and the loss in energy of the flow as it penetrates the bundle, such that an even activation time can be achieved for the plurality of wires.

In one embodiment at least one of the wires is tapered at one end.

25

In another embodiment there is provided a core for use in an energy recovery device, said core comprising a plurality of wires positioned substantially parallel with each other and wherein the wires are selected to have different dimensions such that the plurality of wires are activated at substantially the same time in response to a temperature change.

30

### **Brief Description of the Drawings**

The invention will be more clearly understood from the following description of an embodiment thereof, given by way of example only, with reference to the accompanying drawings, in which:-

- 5        Figure 1 illustrates a known energy recovery system;  
       Figure 2 illustrates the uneven wire activation as a result of fluid input dynamics;  
       Figure 3 illustrates a first embodiment of the invention showing core SMA wires decreasing in diameter size towards the centre of the bundle;  
10       Figure 4 illustrates a second embodiment of the invention illustrating a plurality of tapered core SMA wires decreasing in diameter width from a fluid inlet to an outlet; and  
       Figure 5 illustrates a combined tapering and wire diameter reduction in a core described in Figures 3 and 4.

15

### **Detailed Description of the Drawings**

The invention relates to a heat recovery system under development which can use either Shape Memory Alloys (SMAs) or Negative Thermal Expansion materials (NTE) to generate power from low grade heat.

20

An exemplary known embodiment of an energy recovery device will now be described with reference to Figure 1 which provides an energy recovery device employing a SMA engine indicated by reference numeral 1. The SMA engine 1 comprises a SMA actuation core. The SMA actuation core is comprised of SMA material clamped or otherwise secured at a first point which is fixed. At the  
25       opposing end, the SMA material is clamped or otherwise secured to a drive mechanism 2. Thus whilst the first point is anchored the second point is free to move albeit pulling the drive mechanism 3. An immersion chamber 4 is adapted for housing the SMA engine and is also adapted to be sequentially filled with  
30       fluid to allow heating and/or cooling of the SMA engine. Accordingly, as heat is applied to the SMA core it is free to contract. Suitably, the SMA core comprises a plurality of parallel wires, ribbons or sheets of SMA material. It will be appreciated that in the context of the present invention the term 'wire' is used



and should be given a broad interpretation to mean any suitable length of SMA or NTE material that can act as a core.

Typically, a deflection in and around 4% is common for such a core.  
5 Accordingly, when a 1m length of SMA material is employed, one might expect a linear movement of approximately 4cm to be available. It will be appreciated that the resulting force of such movement depends on the mass of wire used. Such an energy recovery device is described in PCT Patent Publication number WO2013/087490, assigned to the assignee of the present invention, and is  
10 incorporated fully herein by reference.

For such an application, the contraction of such material on exposure to a heat source is captured and converted to usable mechanical work. A useful material for the working element of such an engine has been proven to be Nickel-  
15 Titanium alloy (NiTi). This alloy is a well-known Shape-Memory Alloy and has numerous uses across different industries. It will be appreciated that any suitable SMA or NTE material can be used in the context of the present invention.

20 Force is generated through the contraction and expansion of this alloy (presented as a plurality of wires) within the working core, via a piston and transmission mechanism. Accordingly, depending on the requirements of a particular configuration and the mass of SMA material needed, a plurality of SMA wires may be employed together, spaced substantially parallel to each  
25 other, to form a single core.

A problem with the core having a plurality of wires is the uneven heating of the wires in the core as shown in Figure 2. The invention addresses an unbalance in stress distribution across the SMA bundle that occurs as a result of the fluid  
30 dynamics at the entrance to the SMA core, and as the fluid travels up through the core. The net effect of the fluid dynamics is that SMA wires at the exterior of the bundle activate before wires on the interior of the bundle due to a larger

convective heat transfer coefficient at the entrance due to turbulent flow (compared to the relatively laminar flow further up the bundle), and the fact that the wires on the exterior of the bundle are impacted earliest. It is an object of the invention to overcome this problem.

5

### First Embodiment

1. Utilizing SMA wires of proportionally decreasing diameter towards the centre of the bundle

10

Figure 3 illustrates a first embodiment of the invention showing core SMA wires decreasing in diameter size towards the centre of the bundle. As the SMA wires are heated above temperature Austenite start ( $A_s$ ), the wires begin their transformation from martensite to austenite. The rate at which the wire heats up is a function of the surface area of the wire to the volume of the wire amongst other factors such as the material characteristics and the transient flow characteristics throughout the bundle.

15

The surface area to volume ratio decreases with increasing diameter, resulting in a longer heating time to bring the wire to its austenitic state, and hence activate. By carefully selecting the wire diameters of the SMA wires to match the characteristics of the flow at any point in the SMA bundle, taking into account the impact of the flow on other wires and the loss in energy of the flow as it penetrates the bundle, an even activation time can be achieved. This will result in a more efficient power stroke by achieving a minimisation of any cushioning requirements, and will allow for a more even stress distribution during activation.

20

The energy recovery system will operate well in SMA cores that have multiple fluid inlet points along the length of the bundle, or have a large gap on the outside of the densely packed SMA bundle for fluid to flow – thereby increasing the heat transfer rate at the exterior of the bundle relative to the centre over the entire length of the SMA bundle.

25

30

## Second Embodiment

2. Utilizing SMA wires with a decreasing taper from fluid inlet to outlet.

5 Figure 4 illustrates a second embodiment of the invention illustrating a plurality of tapered core SMA wires decreasing from a fluid inlet to an outlet. This configuration seeks to balance the effect of a varying rate of heat transfer into the wires as a function of the flow characteristics. In one embodiment, at the entrance point to the core the flow is more turbulent when the fluid enters the chamber, interacts perpendicularly with the wires and is directed up through the core in comparison with the more laminar flow as the fluid travels up the core.

15 The turbulent region will have a larger convection heat transfer coefficient, and thus heating of the wires will occur at a faster rate than it will further up the core in the laminar flow region. By tapering the wires in accordance with the expected heat transfer characteristics, it is possible to achieve an even activation rate over the length of the core, resulting in a smoother power stroke profile which reduces any corrections that may be necessary in a coupled transmission.

20

## Third Embodiment

3. Utilizing tapered and SMA wire diameter variation to offset heat transfer effects

25

Figure 5 illustrates a combined tapering and wire diameter reduction in a core described in Figures 3 and 4. This configuration combines the factors in configuration shown in Figures 3 and 4. The exterior SMA wires display a larger diameter to compensate for the inlet flow characteristics, and the tapered angle decreases towards the centre of the bundle to balance against the reduction in heat transfer as the fluid interacts with the wires within.

30

In the specification the terms "comprise, comprises, comprised and comprising" or any variation thereof and the terms include, includes, included and including" or any variation thereof are considered to be totally interchangeable and they should all be afforded the widest possible interpretation and vice versa.

5

The invention is not limited to the embodiments hereinbefore described but may be varied in both construction and detail.

**Claims**

1. An energy recovery device comprising a plurality of Shape-Memory Alloy (SMA) or Negative Thermal Expansion (NTE) elements arranged as a plurality of wires positioned substantially parallel with each other to define a core wherein the wires are selected to have different dimensions such that the plurality of wires are activated at substantially the same time in response to a temperature change.
2. The energy recovery device as claimed in claim 1 wherein wires positioned towards the centre of the core have a smaller diameter than wires positioned near the outside of the core
3. The energy recovery device as claimed in claim 2 wherein the wire diameters are selected to match the characteristics of a fluid flow at any point in the core and take account of the impact of the flow with other wires and the loss in energy of the flow as it penetrates the bundle, such that an even activation time can be achieved for the plurality of wires.
4. The energy recovery device as claimed in any preceding claim wherein at least one of the wires is tapered at one end.
5. A core for use in an energy recovery device, said core comprising a plurality of wires positioned substantially parallel with each other and wherein the wires are selected to have different dimensions such that the plurality of wires are activated at substantially the same time in response to a temperature change.

**Abstract**

The invention provides an energy recovery device comprising a plurality of Shape Memory Alloy (SMA) or Negative Thermal Expansion (NTE) elements  
5 arranged as a plurality of wires positioned substantially parallel with each other to define a core wherein the wires are selected to have different dimensions such that the plurality of wires are activated at substantially the same time in response to a temperature change.

Drawings

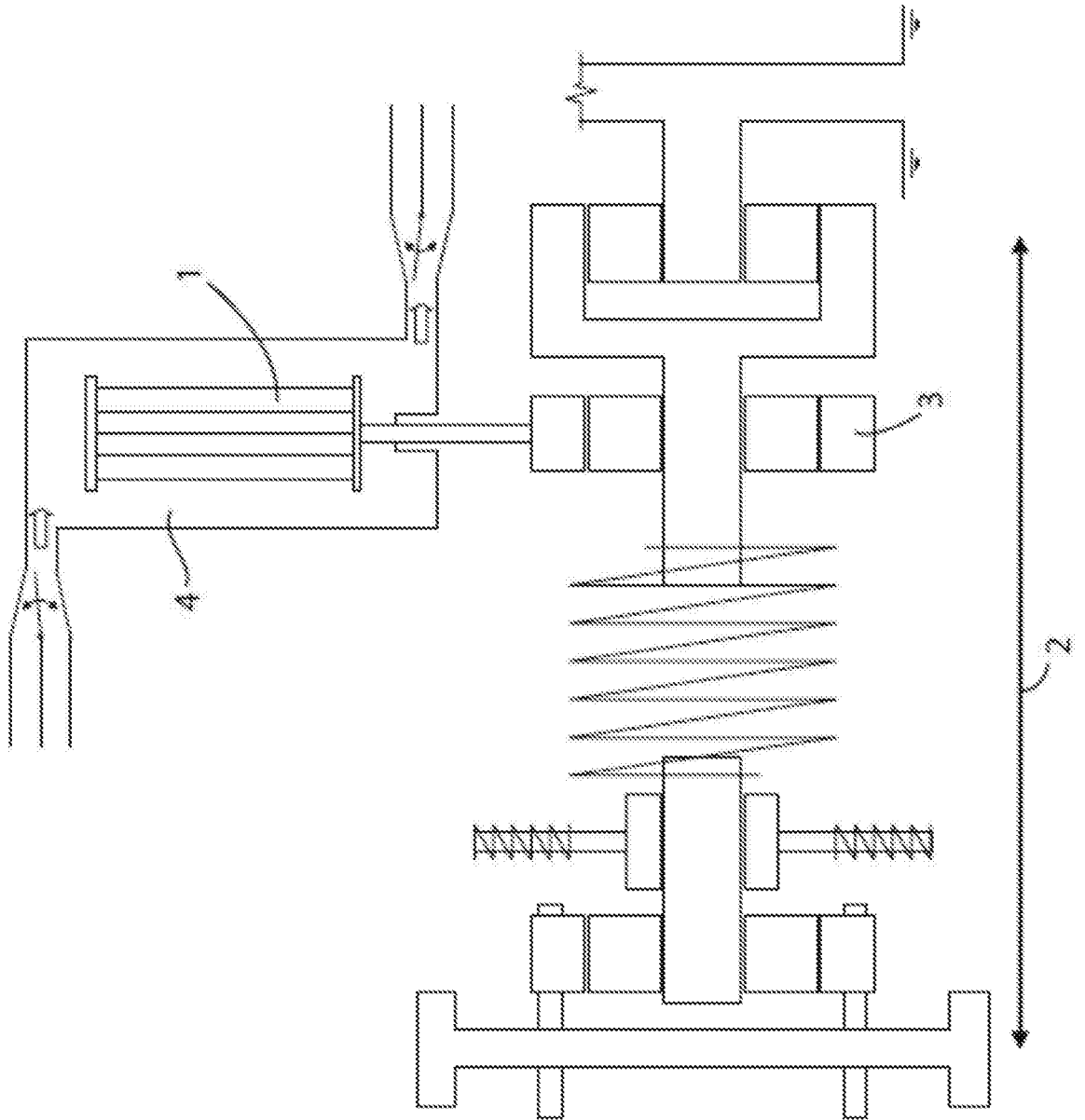


Figure 1

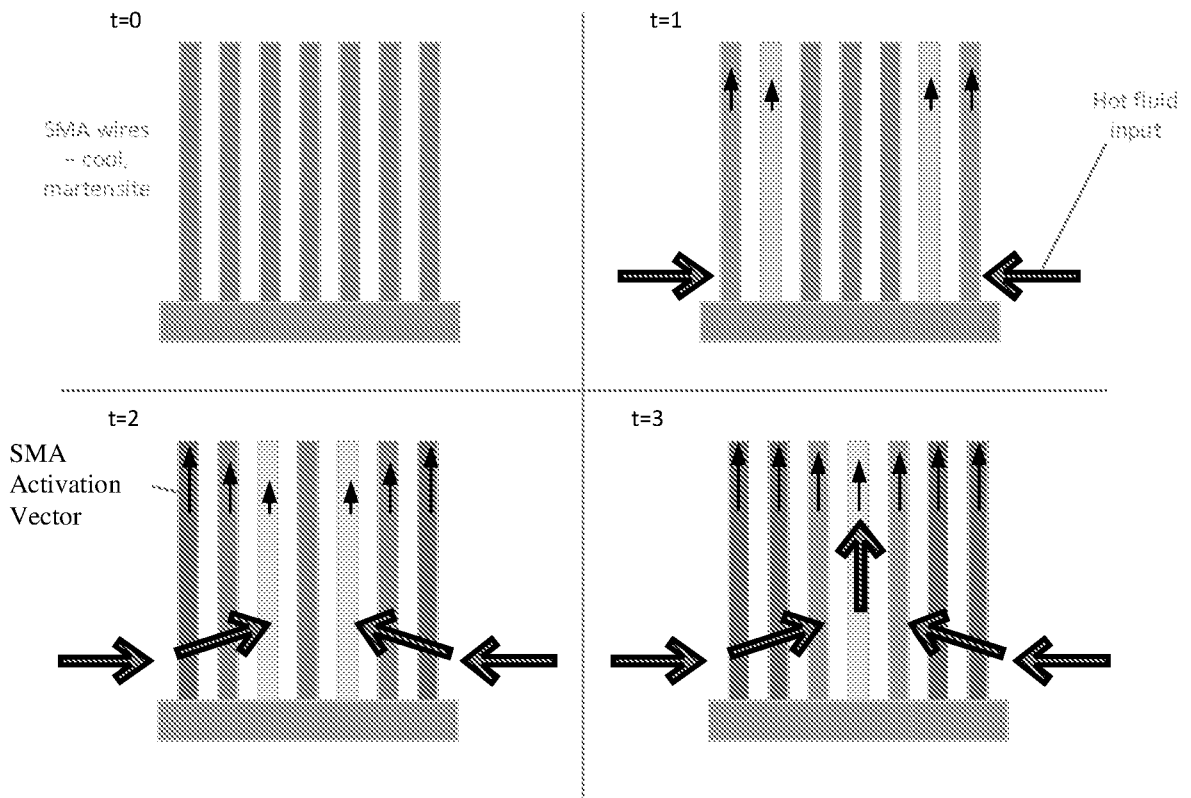


Figure 2

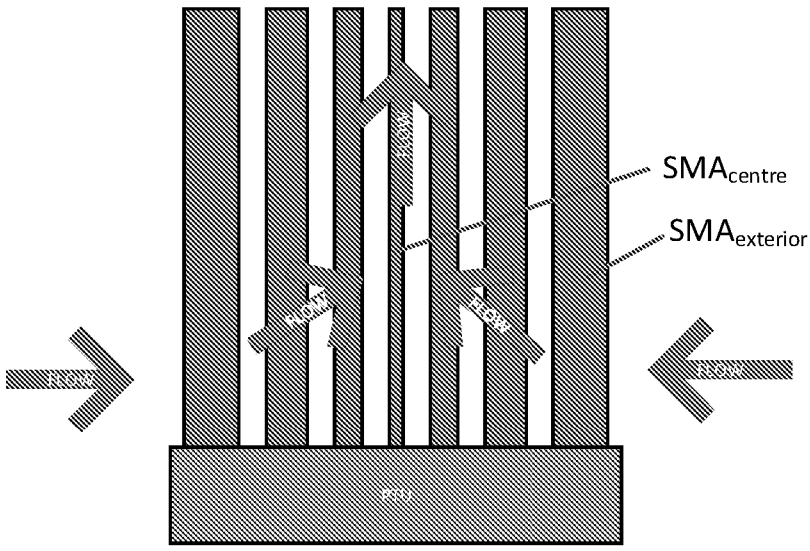
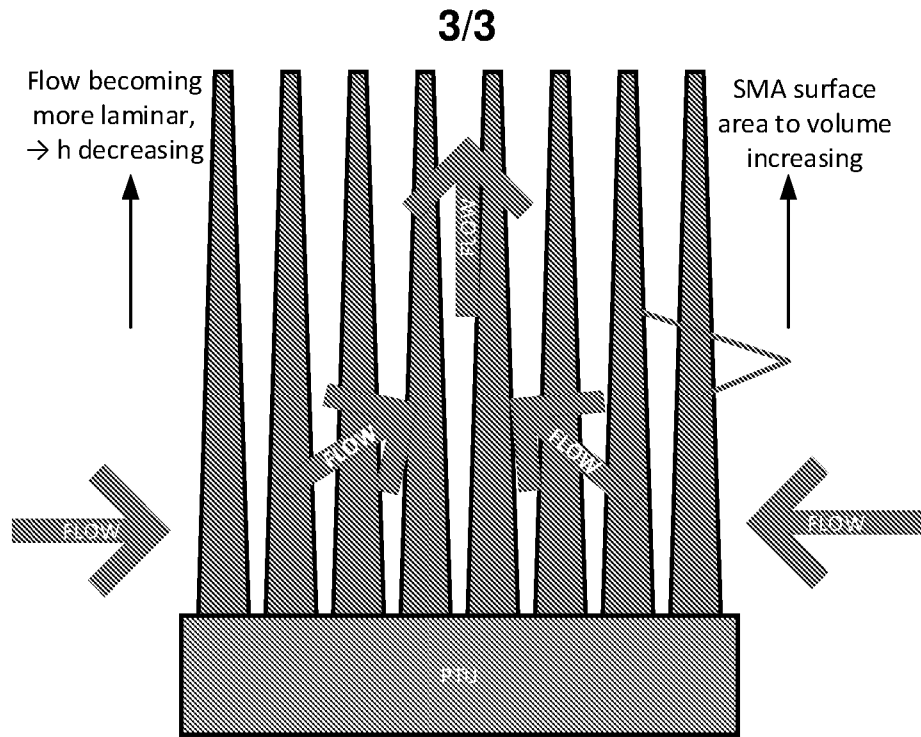


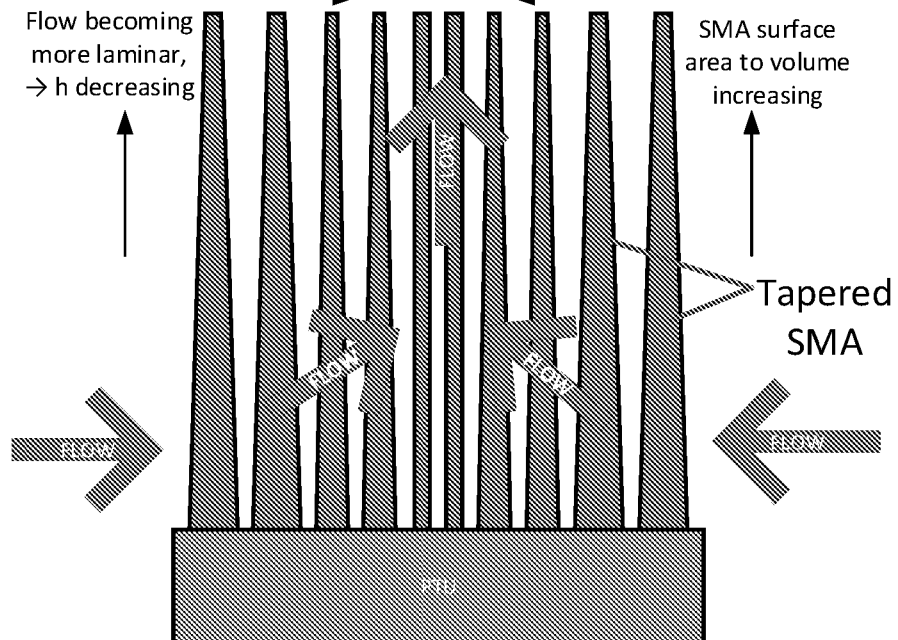
Figure 3





**Figure 4**

Decreasing taper and base diameter towards centre of bundle



**Figure 5**