PATENT COOPERATION TREATY

From the RECEIVING OFFICE

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Confirmation No.: 1024

PCT

NOTIFICATION OF THE INTERNATIONAL APPLICATION NUMBER AND OF THE INTERNATIONAL FILING DATE

(PCT Rule 20.2(c))

Date of mailing (day/month/year) 23 Oct 2015

Applicant’s or agent’s file reference
56489WOPCT02

INTERNATIONAL NOTIFICATION

<table>
<thead>
<tr>
<th>International application No.</th>
<th>International filing date (day/month/year)</th>
<th>Priority date (day/month/year)</th>
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Applicant
NOVARTIS AG

Title of the invention
COMPOSITIONS AND METHODS OF USE FOR AUGMENTED IMMUNE RESPONSE AND CANCER THERAPY

1. The applicant is hereby notified that the international application has been accorded the international application number and the international filing date indicated above.

2. The applicant is further notified that the record copy of the international application:

  - [X] was transmitted to the International Bureau on 23 Oct 2015.
  - [ ] has not yet been transmitted to the International Bureau for the reason indicated below and a copy of this notification has been sent to the International Bureau*:
    - [ ] because the necessary national security clearance has not yet been obtained.
    - [ ] because [reason to be specified]:

* The International Bureau monitors the transmittal of the record copy by the receiving Office and will notify the applicant (with Form PCT/IB/301) of its receipt. Should the record copy not have been received by the expiration of 14 months from the priority date, the International Bureau will notify the applicant (Rule 22.1(c)).

3. FOREIGN TRANSMITTAL LICENSE INFORMATION

- [ ] Additional license for foreign transmittal not required. This subject matter is covered by a license already granted or the equivalent U.S. national application. Refer to that license for information concerning its scope.
- [ ] License for foreign transmittal not required. 37 CFR 5.11(c)(1) or 37 CFR 5.11(c)(2). However, a license may be required for additional subject matter. See 37 CFR 5.15(b).

- [ ] 37 CFR 5.15(a)
- [X] 37 CFR 5.15(b)

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