MARK THOMAS O'CONNOR
JASON ALLAN KLENNER
MILANJOT SINGH ASSI
CALLUM JAMES THOMAS SPENCE
CAROLINE GERALDINE HOPKINS
NEIL GRAY DUTHIE
CRAIG KARL WHITE
ALICIA JERRAM HUNTER EVANS
BRENT IAN LAING
SOOJI HOPE CLARKSON
LAURENCE GULLIVER

FISHER & PAYKEL HEALTHCARE LIMITED

DEED OF ASSIGNMENT OF INTELLECTUAL PROPERTY RIGHTS
PARTIES

MARK THOMAS O’CONNOR, a New Zealand citizen of 15 Maurice Paykel Place, East Tamaki, Auckland 2013, New Zealand (First Assignor)

JASON ALLAN KLENNER, a New Zealand citizen of 15 Maurice Paykel Place, East Tamaki, Auckland 2013, New Zealand (Second Assignor)

MILANJOT SINGH ASSI, a New Zealand citizen of 15 Maurice Paykel Place, East Tamaki, Auckland 2013, New Zealand (Third Assignor)

CALLUM JAMES THOMAS SPENCE, a New Zealand citizen of 15 Maurice Paykel Place, East Tamaki, Auckland 2013, New Zealand (Fourth Assignor)

CAROLINE GERALDINE HOPKINS, an Irish citizen of 15 Maurice Paykel Place, East Tamaki, Auckland 2013, New Zealand (Fifth Assignor)

NEIL GRAY DUTHIE, a New Zealand citizen of 15 Maurice Paykel Place, East Tamaki, Auckland 2013, New Zealand (Sixth Assignor)

CRAIG KARL WHITE, a New Zealand citizen of 15 Maurice Paykel Place, East Tamaki, Auckland 2013, New Zealand (Seventh Assignor)

ALICIA JERRAM HUNTER EVANS, a New Zealand citizen of 15 Maurice Paykel Place, East Tamaki, Auckland 2013, New Zealand (Eighth Assignor)

BRENT IAN LAING, a New Zealand citizen of 15 Maurice Paykel Place, East Tamaki, Auckland 2013, New Zealand (Ninth Assignor)

SOOJI HOPE CLARKSON, a New Zealand citizen of 15 Maurice Paykel Place, East Tamaki, Auckland 2013, New Zealand (Tenth Assignor)

LAURENCE GULLIVER, a New Zealand citizen of 15 Maurice Paykel Place, East Tamaki, Auckland 2013, New Zealand (Eleventh Assignor)

FISHER & PAYKEL HEALTHCARE LIMITED, a New Zealand company whose registered office is located at 15 Maurice Paykel Healthcare Limited (Assignee)

INTRODUCTION

A. The Assignors have devised or contributed to the Invention, either during the course of employment with the Assignee or under a commission from the Assignee.
B. The Assignors acknowledge that the Assignee is or should be the legal and beneficial owner of the Invention and the Intellectual Property Rights.

C. Each of the Assignors has agreed to assign, and the Assignee has agreed to accept, the Invention and such Intellectual Property Rights subject to the terms and conditions of this deed.

COVENANTS

1. DEFINITIONS

1.1 In this deed, including the Introduction, the following words will have the following meanings:

Assignors means the First Assignor, the Second Assignor, the Third Assignor, the Fourth Assignor, the Fifth Assignor, the Sixth Assignor, the Seventh Assignor, the Eighth Assignor, the Ninth Assignor, the Tenth Assignor and the Eleventh Assignor;

Copyright means:

(a) all copyrights and all rights in the nature of copyright, in any original artistic, literary and other works; and

(b) any database rights,

comprising or relating to the Invention as may exist anywhere in the world;

Design Rights means all rights in and to the designs to be applied to articles of or relating to the Invention as may exist anywhere in the world including, but not limited to:

(a) the right to apply for and obtain protection for such designs in relation to such articles and the rights conferred by such protection when granted;

(b) the right to claim priority under any international convention or agreement including the Paris Convention (as amended) from any such application or applications referred to in paragraph (a) above;

(c) any unregistered design rights; and

(d) any semi-conductor topography or integrated circuit layout rights;

Intellectual Property Rights means all industrial and intellectual property rights (whether protectable by statute, at common law or in equity) in and to the Invention as may exist anywhere in the world and whether or not registered or registrable including, but not limited to, the Patent Rights, Copyright and Design Rights;
**Invention** means any and all inventions the subject of the Patent Application as improved, modified, developed or amended at any time up to the date of signing of this deed;

**Know-How** means any information, knowledge, experience, data and designs in the possession of either of the Assignors of a confidential nature and not in the public domain relating to the Invention or the process for making or using it;

**Patent Application** means the patent application identified in the Schedule; and

**Patent Rights** means all patent rights in and to the Invention as may exist or come into existence anywhere in the world including, but not limited to:

(a) the right to apply for and obtain patents or other similar forms of protection in respect of the Invention in any country;

(b) the right to claim priority under any international convention or agreement including the Paris Convention (as amended) from any such application or applications referred to in paragraph (a) above;

(c) all rights conferred by any such patent(s) or similar forms of protection when granted; and

(d) the Patent Application together with:

(i) any patent that may be granted pursuant to the Patent Application; and

(ii) any future patent(s) and patent application(s) that are based on or derive priority from or have equivalent claims to the Patent Application in any country in the world (including divisionals, continuations, continuations in part, supplementary protection certificates, reissues, extensions, innovation patents and petty patents).

### 2. ASSIGNMENT

#### 2.1 Assignment: In consideration of the sum of NZ$1.00 paid by the Assignee to each of the Assignors, the receipt and sufficiency of which is hereby acknowledged by each of the Assignors, each of the Assignors hereby assigns to the Assignee absolutely all of their rights, title and interest in and to:

(a) the Invention;

(b) the Intellectual Property Rights; and

(c) the Know-How and the full, unfettered and exclusive worldwide right to use the Know-How for any purpose whatsoever (including the filing of patent applications in respect of all or any part of it).
2.2 **Rights of action:** The assignments effected by clause 2.1 include, without limitation, the assignment and transfer of:

(a) all rights of action, powers and benefits arising from the ownership of the Intellectual Property Rights including, without limitation, the right to sue for damages and other legal and equitable remedies in respect of all causes of action arising before, on or after the date of this deed; and

(b) all rights of ownership of any materials that form part of the Know-How.

2.3 **Moral rights:** The Assignors waive all of their moral rights arising from the Invention throughout the world, to the extent that they may lawfully do so.

3. **KNOW-HOW**

3.1 **Provision of Know-How:** Each of the Assignors will, at the request of the Assignee and to the extent outstanding:

(a) disclose to the Assignee in writing all Know-How known to them;

(b) provide all other reasonable assistance and information as may be reasonably necessary in order to assist the Assignee, or its nominee, to develop and make or use the Invention; and

(c) where possible, provide all original versions of the Invention and any materials that form part of the Know-How.

3.2 **Confidentiality:**

(a) The Assignors agree to treat all information relating to the Invention, the Know-How and the Intellectual Property Rights as secret and confidential.

(b) Following the execution of this deed, the Assignors will not use, disclose or publish the Information referred to in paragraph (a) above without the Assignee’s prior written consent.

(c) These obligations of confidentiality will not extend to any information that is or becomes generally available to the public through no act or default of the Assignors. If either of the Assignors becomes aware of any actual or potential loss of secrecy or confidentiality in respect of such information, such of the Assignors will promptly advise the Assignee of such matter.

4. **IMPROVEMENTS**

4.1 **Disclosure:** Following the date of this deed, each of the Assignors, while employed by the Assignee or under any commission from the Assignee, will immediately disclose to the Assignee all improvements in, modifications of or additions to:
(a) the Invention;

(b) any original artistic, literary or other works relating to the Invention; and

(c) any designs to be applied to the articles of or relating to the Invention,

devised, created, designed, contributed to or acquired by them (Improvements).

4.2 Ownership of Improvements:

(a) The Assignee will exclusively own all Improvements and all intellectual property rights in such Improvements. To the extent that any Improvements and such intellectual property rights do not on their creation vest in the Assignee but vest in one or more of the Assignors, such Assignors will hold such Improvements and intellectual property rights on trust for the Assignee.

(b) The Assignors to whom paragraph (a) above applies will at any time, upon the Assignee’s reasonable request and at the Assignee’s expense, appropriately execute all documents necessary to:

(i) confirm the Assignee’s ownership of the Improvements and all intellectual property rights in such Improvements; or

(ii) file a protective application for such Improvements and intellectual property rights and/or defend such protective application.

5. EXECUTION OF DOCUMENTS AND FURTHER ACTIONS

5.1 Further actions: If requested by the Assignee, each of the Assignors will, at the Assignee’s expense, execute all documents, give such assistance and do all other acts and things as may be necessary or desirable to:

(a) apply for and obtain or (if the Assignee thinks fit) join with the Assignee in applying for and obtaining protection of the Intellectual Property Rights, with the understanding and the intention that all rights, title and interest in and to such applications and any granted protection is to vest in the Assignee;

(b) vest any such protection referred to in paragraph (a) in the Assignee;

(c) amend, maintain or renew any such protection referred to in paragraph (a);

(d) assist the Assignee to:
enable enforcement of any of the Intellectual Property Rights (including obtaining all remedies as may be available for infringement of the Intellectual Property Rights);

(iii) defeat any challenge to the validity of any of the Intellectual Property Rights;

(iii) defend any opposition proceedings brought by a third party in respect of the Intellectual Property Rights; or

(iv) conduct opposition proceedings in respect of any application for intellectual property protection by a third party where such application may adversely affect the Assignee's ability to exploit the Intellectual Property Rights; and

(e) otherwise implement and carry out their obligations under this deed.

5.2 **Power of attorney:** Each of the Assignors hereby irrevocably appoints the Assignee as their attorney with full power to act in their name and on their behalf in fulfilling any of the matters set out in clause 5.1:

(a) to the extent that such of the Assignors fails to do any of such matters after being called upon to do so by the Assignee; or

(b) if the Assignee is unable, after making reasonable and proper inquiries, to locate such of the Assignors to request the fulfillment of such matters.

6. **GENERAL**

6.1 **Waiver:** No failure or delay by any party in exercising any right, power or privilege under this deed will operate as a waiver of such right, power or privilege, nor will any single or partial exercise preclude any other or further exercise of any right, power or privilege under this deed.

6.2 **Facsimile counterparts:**

(a) This deed may be executed in any number of counterparts (including facsimile copies) all of which, when taken together, will constitute one and the same instrument.

(b) A party may enter into this deed by executing any counterpart. The parties acknowledge that this deed may be executed on the basis of an exchange of facsimile copies and confirm that their respective execution of this deed by such means will be a valid and sufficient execution.

6.3 **Governing law:** The formation, validity, construction and performance of this deed will be governed by and construed in accordance with the laws of New Zealand. The parties irrevocably agree that the Courts of New Zealand will have non-exclusive jurisdiction to hear and determine all disputes under or in
connection with this deed. The parties irrevocably waive any objections to New Zealand as the forum for proceedings on the grounds of forum non-conveniens or any similar grounds.
SIGNED AS A DEED

SIGNED by MARK THOMAS O'CONNOR in the presence of:

WITNESS
Signature: [Signature]
Name: Quinten Smith
Address: Auckland, New Zealand
Occupation: Intellectual Property Engineer

Date
Signature: 15 May 2014

SIGNED by JASON ALLAN KLENNER in the presence of:

WITNESS
Signature: [Signature]
Name: Quinten Smith
Address: Auckland, New Zealand
Occupation: Intellectual Property Engineer

Date
Signature: 15 May 2014

SIGNED by MILANJOT SINGH ASSI in the presence of:

WITNESS
Signature: [Signature]
Name: Quinten Smith
Address: Auckland, New Zealand
Occupation: Intellectual Property Engineer

Date
Signature: 15 MAY 2014

SIGNED by CALLUM JAMES THOMAS SPENCE in the presence of:

WITNESS
Signature: [Signature]
Name: Vanessa Woo
Address: Auckland, NZ

Date
Signature: 22 May 2014

6237737.1 Deed of Assignment of Intellectual Property Rights
Occupation: IP ASSISTANT

SIGNED by CAROLINE GERALDINE HOPKINS in the presence of:

Signature: __________________________
Date: __________________________

WITNESS
Signature: __________________________
Name: __________________________
Address: __________________________
Occupation: __________________________

SIGNED by NEIL GRAY DUTHIE in the presence of:

Signature: __________________________
Date: __________________________

WITNESS
Signature: __________________________
Name: Vanessa Wood
Address: Auckland, NZ
Occupation: IP ASSISTANT

SIGNED by CRAIG KARL WHITE in the presence of:

Signature: __________________________
Date: __________________________

WITNESS
Signature: __________________________
Name: Quinten Smith
Address: Auckland, New Zealand
Occupation: Intellectual Property Engineer

SIGNED by ALICIA JERRAM HUNTER EVANS in the presence of:

Signature: __________________________
Date: __________________________

WITNESS
Signature: __________________________
Name: Quinten Smith

6237737.1 Deed of Assignment of Intellectual Property Rights
Occupation: ____________________

SIGNED by CAROLINE GERALDINE HOPKINS in the presence of:
Signature: ____________________
Date: 20 May 2014

WITNESS
Signature: ____________________
Name: DONNA BARRETT
Address: UNSPECIFIED
Occupation: R&D ENGINEER

SIGNED by NEIL GRAY DUTHIE in the presence of:
Signature: ____________________
Date: ____________________

WITNESS
Signature: ____________________
Name: ________________________
Address: ______________________
Occupation: ____________________

SIGNED by CRAIG KARL WHITE in the presence of:
Signature: ____________________
Date: ____________________

WITNESS
Signature: ____________________
Name: ________________________
Address: ______________________
Occupation: ____________________

SIGNED by ALICIA JERRAM HUNTER EVANS in the presence of:
Signature: ____________________
Date: ____________________

WITNESS
Signature: ____________________
Name: ________________________
Address: Auckland, New Zealand
Occupation: Intellectual Property Engineer

SIGNED by BRENT IAN LAING in the presence of:

WITNESS
Signature: 
Name: Andrew Smith
Address: Auckland, New Zealand
Occupation: Intellectual Property Engineer

Signature
Date: 13 MAY 2014

SIGNED by SOOJI HOPE CLARKSON in the presence of:

WITNESS
Signature: 
Name: Vangela Woo
Address: Auckland, NZ
Occupation: IP ASSISTANT

Signature
Date: 23 MAY 2014

SIGNED by LAURENCE GULLIVER in the presence of:

WITNESS
Signature: 
Name: Quentin Smith
Address: Auckland, New Zealand
Occupation: Intellectual Property Engineer

Signature
Date: 15 MAY 2014

SIGNED for FISHER & PAYKEL HEALTHCARE LIMITED by:

Signature
Date: 26 MAY 2014.
# SCHEDULE

## PATENT APPLICATION

<table>
<thead>
<tr>
<th>Country</th>
<th>Application No.</th>
<th>Title</th>
<th>Date Filed</th>
</tr>
</thead>
<tbody>
<tr>
<td>PCT International</td>
<td>PCT/NZ2014/000040</td>
<td>NASAL CANNULA ASSEMBLIES AND RELATED PARTS</td>
<td>14 March 2014</td>
</tr>
<tr>
<td>USA</td>
<td>61/799,583</td>
<td>NASAL CANNULA ASSEMBLY</td>
<td>15 March 2013</td>
</tr>
<tr>
<td>USA</td>
<td>61/815,671</td>
<td>NASAL CANNULA ASSEMBLY</td>
<td>24 April 2013</td>
</tr>
<tr>
<td>USA</td>
<td>61/829,103</td>
<td>NASAL CANNULA ASSEMBLY</td>
<td>30 May 2013</td>
</tr>
<tr>
<td>USA</td>
<td>61/880,541</td>
<td>NASAL CANNULA SYSTEM</td>
<td>20 September 2013</td>
</tr>
<tr>
<td>USA</td>
<td>61/881,316</td>
<td>NASAL CANNULA ATTACHMENT</td>
<td>23 September 2013</td>
</tr>
<tr>
<td>USA</td>
<td>61/881,693</td>
<td>HEADGEAR FOR A PATIENT INTERFACE</td>
<td>24 September 2013</td>
</tr>
<tr>
<td>USA</td>
<td>61/916,686</td>
<td>NASAL CANNULA ASSEMBLY</td>
<td>16 December 2013</td>
</tr>
</tbody>
</table>