

# PCT

## REQUEST

The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty.

For receiving Office use only

International Application No.	PCT/US12/48709
International Filing Date	27 JUL 2012 (27.07.12)
Name of receiving Office and "PCT International Application"	RO/US
Applicant's or agent's file reference (if desired) (12 characters maximum)	P027PCT

<b>Box No. I</b>	<b>TITLE OF INVENTION</b>
DISCECTOMY DEVICES AND RELATED METHODS	
<b>Box No. II</b>	<b>APPLICANT</b>
<input type="checkbox"/> This person is also inventor	
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)	Telephone No. 510-743-5078
SPINE VIEW, INC. 48810 Kato Rd., Suite 100E Fremont, CA 94538 United States of America	Facsimile No. 510-743-5120
Applicant's registration No. with the Office	
<b>E-mail authorization:</b> Marking one of the check-boxes below authorizes the receiving Office, the International Searching Authority, the International Bureau and the International Preliminary Examining Authority to use the e-mail address indicated in this Box to send notifications issued in respect of this international application to that e-mail address if those offices are willing to do so. <input checked="" type="checkbox"/> as advance copies followed by paper notifications; or <input type="checkbox"/> exclusively in electronic form (no paper notifications will be sent). E-mail address: rcarothers@spineview.com	
State (that is, country) of nationality: US	State (that is, country) of residence: US
This person is applicant for the purposes of:	<input type="checkbox"/> all designated States <input checked="" type="checkbox"/> all designated States except the United States of America <input type="checkbox"/> the United States of America only <input type="checkbox"/> the States indicated in the Supplemental Box
<b>Box No. III</b>	<b>FURTHER APPLICANT(S) AND/OR (FURTHER) INVENTOR(S)</b>
<input checked="" type="checkbox"/> Further applicants and/or (further) inventors are indicated on a continuation sheet.	
<b>Box No. IV</b>	<b>AGENT OR COMMON REPRESENTATIVE; OR ADDRESS FOR CORRESPONDENCE</b>
The person identified below is hereby/has been appointed to act on behalf of the applicant(s) before the competent International Authorities as:	<input checked="" type="checkbox"/> agent <input type="checkbox"/> common representative
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)	Telephone No. 510-743-5078
CAROTHERS, Ross Spine View, Inc. 48810 Kato Rd., Suite 100E Fremont, CA 94538 United States of America	Facsimile No. 510-743-5120
Agent's registration No. with the Office 44,593	
<b>E-mail authorization:</b> Marking one of the check-boxes below authorizes the receiving Office, the International Searching Authority, the International Bureau and the International Preliminary Examining Authority to use the e-mail address indicated in this Box to send notifications issued in respect of this international application to that e-mail address if those offices are willing to do so. <input checked="" type="checkbox"/> as advance copies followed by paper notifications; or <input type="checkbox"/> exclusively in electronic form (no paper notifications will be sent). E-mail address: rcarothers@spineview.com	
<input type="checkbox"/> <b>Address for correspondence:</b> Mark this check-box where no agent or common representative is/has been appointed and the space above is used instead to indicate a special address to which correspondence should be sent.	

<b>Box No. III FURTHER APPLICANT(S) AND/OR (FURTHER) INVENTOR(S)</b> <i>If none of the following sub-boxes is used, this sheet should not be included in the request.</i>	
Name and address: <i>(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)</i> <b>FABRO, Myra</b> 951 S. 12th Street, #305 San Jose, CA 95112 United States of America	This person is: <input type="checkbox"/> applicant only <input type="checkbox"/> applicant and inventor <input checked="" type="checkbox"/> inventor only <i>(If this check-box is marked, do not fill in below.)</i>
Applicant's registration No. with the Office	
State (that is, country) of nationality: <b>US</b>	State (that is, country) of residence: <b>US</b>
This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input checked="" type="checkbox"/> all designated States except the United States of America <input type="checkbox"/> the United States of America only <input type="checkbox"/> the States indicated in the Supplemental Box	
Name and address: <i>(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)</i> <b>NGUYEN, Hiep</b> 920 Big Bear Court Milpitas, CA 95035 United States of America	This person is: <input type="checkbox"/> applicant only <input type="checkbox"/> applicant and inventor <input checked="" type="checkbox"/> inventor only <i>(If this check-box is marked, do not fill in below.)</i>
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Name and address: <i>(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)</i> <b>DIAS, Mark A.</b> 3880 Wiven Place San Jose, CA 95121 United States of America	This person is: <input type="checkbox"/> applicant only <input type="checkbox"/> applicant and inventor <input checked="" type="checkbox"/> inventor only <i>(If this check-box is marked, do not fill in below.)</i>
Applicant's registration No. with the Office	
State (that is, country) of nationality: <b>US</b>	State (that is, country) of residence: <b>US</b>
This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input checked="" type="checkbox"/> all designated States except the United States of America <input type="checkbox"/> the United States of America only <input type="checkbox"/> the States indicated in the Supplemental Box	
Name and address: <i>(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)</i> <b>MIREL, Ai</b> 2000 Brewster Avenue Redwood City, CA 94062 United States of America	This person is: <input type="checkbox"/> applicant only <input type="checkbox"/> applicant and inventor <input checked="" type="checkbox"/> inventor only <i>(If this check-box is marked, do not fill in below.)</i>
Applicant's registration No. with the Office	
State (that is, country) of nationality: <b>US</b>	State (that is, country) of residence: <b>US</b>
This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input checked="" type="checkbox"/> all designated States except the United States of America <input type="checkbox"/> the United States of America only <input type="checkbox"/> the States indicated in the Supplemental Box	
<input checked="" type="checkbox"/> Further applicants and/or (further) inventor(s) are indicated on another continuation sheet.	

<b>Box No. III FURTHER APPLICANT(S) AND/OR (FURTHER) INVENTOR(S)</b> <i>If none of the following sub-boxes is used, this sheet should not be included in the request.</i>	
<p><small>Name and address: (Family name followed by given name, for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)</small></p> <p><b>MAY, Robert</b>  <b>25859 Seaver St.</b>  <b>Hayward, CA 94545</b>  <b>United States of America</b></p>	<p><b>This person is:</b></p> <p><input type="checkbox"/> applicant only</p> <p><input type="checkbox"/> applicant and inventor</p> <p><input checked="" type="checkbox"/> inventor only <i>(If this check-box is marked, do not fill in below.)</i></p> <p>Applicant's registration No. with the Office</p>
<p>State (that is, country) of nationality: <b>US</b></p>	<p>State (that is, country) of residence: <b>US</b></p>
<p>This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input checked="" type="checkbox"/> all designated States except the United States of America <input type="checkbox"/> the United States of America only <input type="checkbox"/> the States indicated in the Supplemental Box</p>	
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<p>State (that is, country) of nationality:</p>	<p>State (that is, country) of residence:</p>
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<p>State (that is, country) of nationality:</p>	<p>State (that is, country) of residence:</p>
<p>This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input type="checkbox"/> all designated States except the United States of America <input type="checkbox"/> the United States of America only <input type="checkbox"/> the States indicated in the Supplemental Box</p>	
<p><input type="checkbox"/> Further applicants and/or (further) inventors are indicated on another continuation sheet.</p>	

<b>Box No. V DESIGNATIONS</b>				
<p>The filing of this request constitutes under Rule 4.9(a) the designation of all Contracting States bound by the PCT on the international filing date, for the grant of every kind of protection available and, where applicable, for the grant of both regional and national patents. However,</p> <p><input type="checkbox"/> DE Germany is <b>not designated</b> for any kind of national protection</p> <p><input type="checkbox"/> JP Japan is <b>not designated</b> for any kind of national protection</p> <p><input type="checkbox"/> KR Republic of Korea is <b>not designated</b> for any kind of national protection</p> <p><i>(The check-boxes above may only be used to exclude (irrevocably) the designations concerned (f, at the time of filing or subsequently under Rule 26bis.1, the international application contains in Box No. VI a priority claim to an earlier national application filed in the particular State concerned, in order to avoid the ceasing of the effect, under the national law, of this earlier national application.)</i></p>				
<b>Box No. VI PRIORITY CLAIM</b>				
The priority of the following earlier application(s) is hereby claimed:				
Filing date of earlier application <i>(day/month/year)</i>	Number of earlier application	Where earlier application is.		
		national application: country or Member of WTO	regional application: regional Office	international application: receiving Office
item (1) 28/07/2011	61/512,845	US		
item (2)				
item (3)				
<p><input type="checkbox"/> Further priority claims are indicated in the Supplemental Box.</p> <p><input type="checkbox"/> The <b>International Bureau</b> is requested to obtain from a digital library, a certified copy of the earlier application(s) <i>(if the earlier application(s) is available to it from a digital library)</i> identified above as:*  <input type="checkbox"/> all items    <input type="checkbox"/> item (1)    <input type="checkbox"/> item (2)    <input type="checkbox"/> item (3)    <input type="checkbox"/> other, see Supplemental Box</p> <p><input checked="" type="checkbox"/> The <b>receiving Office</b> is requested to prepare and transmit to the International Bureau a certified copy of the earlier application(s) <i>(if the earlier application(s) was filed with the Office which for the purposes of this international application is the receiving Office)</i> or to obtain a certified copy of the earlier application(s) from a digital library and transmit a copy of it to the International Bureau <i>(if the earlier application(s) is available to the receiving Office from a digital library)</i>, identified above as:*  <input checked="" type="checkbox"/> all items    <input type="checkbox"/> item (1)    <input type="checkbox"/> item (2)    <input type="checkbox"/> item (3)    <input type="checkbox"/> other, see Supplemental Box</p> <p>* Where the certified copy of the earlier application(s) is not stored in a digital library under the number of the earlier application indicated above but under the application number of another application which also claims priority from it, indicate that number in the supplemental sheet (item 4).</p>				
<p><b>Restore the right of priority:</b> the receiving Office is requested to restore the right of priority for the earlier application(s) identified above or in the Supplemental Box as item(s) (.....). <i>(See also the Notes to Box No. VI: further information must be provided to support a request to restore the right of priority.)</i></p>				
<p><b>Incorporation by reference:</b> where an element of the international application referred to in Article 11(1)(ii)(d) or (e) or a part of the description, claims or drawings referred to in Rule 20.5(a) is not otherwise contained in this international application but is completely contained in an earlier application whose priority is claimed on the date on which one or more elements referred to in Article 11(1)(ii) were first received by the receiving Office, that element or part is, subject to confirmation under Rule 20.6, incorporated by reference in this international application for the purposes of Rule 20.6.</p>				
<b>Box No. VII INTERNATIONAL SEARCHING AUTHORITY</b>				
<p><b>Choice of International Searching Authority (ISA)</b> <i>(if more than one International Searching Authority is competent to carry out the international search, indicate the Authority chosen; the two-letter code may be used):</i></p> <p>ISA/ US - United States Patent and Trademark Office</p>				

**Box No. IX CHECK LIST for EFS-Web filings - this sheet is only to be used when filing an international application with RO/US via EFS-Web**

This international application contains the following:	Number of sheets	This international application is accompanied by the following item(s) (mark the applicable check-boxes below and indicate in right column the number of each item):	Number of items
(a) request form PCT/RO/101 (including any declarations and supplemental sheets) . . . . .	5	1. <input type="checkbox"/> fee calculation sheet . . . . .	
(b) description (excluding any sequence listing part of the description, see (f), below) . . . . .	35	2. <input type="checkbox"/> original separate power of attorney . . . . .	
(c) claims . . . . .	3	3. <input type="checkbox"/> original general power of attorney . . . . .	
(d) abstract . . . . .	1	4. <input type="checkbox"/> copy of general power of attorney; reference number: . . . . .	
(e) drawings (if any) . . . . .	17	5. <input type="checkbox"/> statement explaining lack of signature . . . . .	
(f) sequence listing part of the description in the form of an image file (e.g. PDF) . . . . .		6. <input type="checkbox"/> priority document(s) identified in Box No. VI as item(s) . . . . .	
<b>Total number of sheets</b> (including the sequence listing part of the description if filed as an image file) . . . . .	<b>63</b>	7. <input type="checkbox"/> Translation of international application into (language): . . . . .	
(g) sequence listing part of the description		8. <input type="checkbox"/> separate indications concerning deposited microorganism or other biological material . . . . .	
<input type="checkbox"/> filed in the form of an Annex C/ST.25 text file		9. <input type="checkbox"/> (only where item (f) is marked in the left column) copy of the sequence listing in electronic form (Annex C/ST.25 text file) not forming part of the international application but furnished only for the purposes of international search under Rule 13ter	
<input type="checkbox"/> WILL BE filed separately on physical data carrier(s), on the same day and in the form of an Annex C/ST.25 text file		10. <input type="checkbox"/> (only where item (f) is marked in the left column) a statement confirming that "the information recorded in electronic form submitted under Rule 13ter is identical to the sequence listing as contained in the international application" as filed via EFS-Web: . . . . .	
Indicate type and number of physical data carrier(s) . . . . .		11. <input type="checkbox"/> copy of results of earlier search(es) (Rule 12bis.1(a)) . . . . .	
		12. <input type="checkbox"/> other (specify): . . . . .	

Figure of the drawings which should accompany the abstract: \_\_\_\_\_ Language of filing of the international application: **English**

**Box No. X SIGNATURE OF APPLICANT, AGENT OR COMMON REPRESENTATIVE**

Next to each signature, indicate the name of the person signing and the capacity in which the person signs (if such capacity is not obvious from reading the request).

/Ross M. Carothers/  
 Ross M. Carothers, Patent Agent, Reg. No. 44,593 27-07-2012  
 Spine View, Inc.  
 48810 Kato Rd., Suite 100E, Fremont, CA 94538, United States of America

*For receiving Office use only*

1. Date of actual receipt of the purported international application: <b>27 JUL 2012 (27.07.12)</b>	2. Drawings: <input type="checkbox"/> received.  <input type="checkbox"/> not received:
3. Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application:	
4. Date of timely receipt of the required corrections under PCT Article 11(2):	
5. International Searching Authority (if two or more are competent): <b>ISA / US</b>	6. <input type="checkbox"/> Transmittal of search copy delayed until search fee is paid

*For International Bureau use only*

Date of receipt of the record copy by the International Bureau: